

Agenda

Dorset County Council



Meeting: Audit and Governance Committee
Time: 10.00 am
Date: 20 September 2016
Venue: Committee Room 2, County Hall, Colliton Park, Dorchester, Dorset, DT1 1XJ

Trevor Jones (Chairman)	Kate Wheller (Vice-Chairman)	Pauline Batstone
Andrew Cattaway	Hilary Cox	Lesley Dedman
David Harris	Peter Richardson	Matt Hall
Peter Wharf		

Notes:

- The reports with this agenda are available at www.dorsetforyou.com/countycommittees then click on the link "minutes, agendas and reports". Reports are normally available on this website within two working days of the agenda being sent out.
- We can provide this agenda and the reports as audio tape, CD, large print, Braille, or alternative languages on request.

- **Public Participation**

Guidance on public participation at County Council meetings is available on request or at <http://www.dorsetforyou.com/374629>.

Public Speaking

Members of the public can ask questions and make statements at the meeting. The closing date for us to receive questions is 10.00am on 15 September 2016, and statements by midday the day before the meeting.

Debbie Ward
Chief Executive

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Monday, 12 September 2016

1. **Apologies for Absence**

To receive any apologies for absence.

2. **Code of Conduct**

Councillors are required to comply with the requirements of the Localism Act 2011 regarding disclosable pecuniary interests.

- Check if there is an item of business on this agenda in which the member or other relevant person has a disclosable pecuniary interest.
- Check that the interest has been notified to the Monitoring Officer (in writing) and entered in the Register (if not this must be done on the form available from the clerk within 28 days).
- Disclose the interest at the meeting (in accordance with the County Council's Code of Conduct) and in the absence of a dispensation to speak and/or vote, withdraw from any consideration of the item.

The Register of Interests is available on Dorsetforyou.com and the list of disclosable pecuniary interests is set out on the reverse of the form.

3. **Minutes**

5 - 12

To confirm the minutes of the meeting held on 8 June 2016 (attached).

4. **Progress on Matters Raised at Previous Meetings**

13 - 16

To consider a report outlining Cabinet decisions arising from recommendations of the Audit and Governance Committee or any outstanding actions identified at the last meeting.

5. **Public Participation**

(a) Public Speaking

(b) Petitions

6. **Statement of Accounts**

17 - 132

To consider a report by the Chief Financial Officer (attached).

7. **External Audit Report 2015/16**

133 - 168

To consider a report by KPMG, the Council's External Auditor (attached).

8. **Internal Audit Quarterly Report**

169 - 190

To consider a report by the Chief Executive (attached).

9. **Joint Working - Opportunities, Risks and Considerations**

191 - 196

To consider a report by the South West Audit Partnership (attached).

10. **Budget Monitoring - August 2016**

197 - 208

To consider a report by the Chief Financial Officer (attached).

11. **Treasury Management and Prudential Code Review 2015/16**

209 - 226

To consider a report by the Chief Financial Officer (attached).

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| 12. Review of Council Tax Single Person's Discount | 227 - 230 |
| To consider a report by the Chief Financial Officer (attached). | |
| 13. DES Business Continuity Update | 231 - 236 |
| To consider a report by the Chief Executive (attached). | |
| 14. Learning from Service Failures in other Authorities and Implication for Governance | 237 - 244 |
| To consider a report by the Head of Corporate Development (attached). | |
| 15. Corporate Compliments and Complaints Annual Report 1 April 2015 to 31 March 2016 | 245 - 272 |
| To consider a report by the Chief Executive (attached). | |
| 16. Forward Plan | 273 - 278 |
| To consider the Committee's forward plan (attached). | |
| 17. Questions from County Councillors | |
| To answer any questions received in writing by the Chief Executive by not later than 10.00am on 15 September 2016. | |